The Zachman Framework and the OMG's Model Driven Architecture

Introduction

As organizations, products, customers and technologies continue to change at an increasingly rapid rate, managers have sought overviews that will allow them to understand how everything within their organization fits together. The currently popular term for such an overview is an architecture. Some architectures – a data architecture, for example – provide overviews of a specific part of the overall organization. Increasingly, the term enterprise architecture refers to a set of architectures, which, taken together, provide a complete view of an organization.

The Zachman Framework is one popular way of conceptualizing how all of the more specific architectures that an organization might create can be integrated into a comprehensive picture. The Zachman Framework is an analytic model or classification scheme that organizes descriptive representations. It does not describe an implementation process and is independent of specific methodologies.

The Object Management Group’s Model Driven Architecture (MDA) is an approach to creating models, refining models, and generating code from models. The MDA approach also includes technology to facilitate the transport of models and code from one implementation to another, and may, in the future, include the ability to reverse engineering code into models. It is important to note that MDA does not define a specific development methodology. Instead, it is a generic approach that can be used with existing methodologies.

This paper describes how the OMG’s Model Driven Architecture can be mapped to the Zachman Framework. The aim is to illustrate what aspects of the Zachman Framework can be supported by architects, analysts and developers who use MDA.

The Zachman Framework

In 1987 John A. Zachman, an IBM researcher, proposed what is now popularly called the Zachman Framework, a way of conceptualizing what is involved in any information system architecture.[1] Zachman borrowed the term architecture from the building trades and discussed the various types of drawings and blueprints a building architect typically developed in order to create a house. He then suggested parallels in software development. He stressed that an organization does not have a single architecture, but has, instead, a whole range of diagrams and documents representing different aspects or viewpoints and different stages.
In the years since he wrote his original article, Zachman has worked to refine and elaborate his framework.[2] Figure 1 provides an overview of the current Zachman Framework.

### Figure 1. The Zachman Enterprise Architecture Framework.

Zachman states that “The Framework for Enterprise Architecture is a two dimensional classification scheme for descriptive representations of an Enterprise.”[3]

The vertical dimension (the rows) describes the perspectives of those who use the models or descriptions contained in the cells. The top row represents the most generic perspective of an organization, while lower rows are successively more concrete. The bottom row represents a description of the actual data, code and people that make up the enterprise.

The perspectives, starting from the top of Figure 1, are:

**SCOPE: (Contextual) The Planner’s Perspective.** This describes the models, architectures and representations that provide the boundaries for the organization,
and describe what senior executives must consider when they think about the organization and how it interacts with the world.

**BUSINESS MODEL:** (Conceptual) *The Owner’s Perspective.* This describes the models, architectures and descriptions used by the individuals who are the owners of the business process. They focus on the usage characteristics of the products.

**SYSTEM MODEL:** (Logical) *The Designer’s Perspective.* This describes the models, architectures and descriptions used by engineers, architects and those who mediate between what is desirable and what is technically possible.

**TECHNOLOGY MODEL:** (Physical) *The Builder’s Perspective.* This describes the models, architectures and descriptions used by technicians, engineers and contractors who design and create the actual product. The emphasis here is on constraints and what will actually be constructed.

**DETAILED REPRESENTATIONS:** (Out-of-Context Perspective) *A Sub-Contractor’s Perspective.* This describes the actual elements or parts that are included in, or make up, the final product (e.g. software components). Using the construction metaphor, Zachman refers to it as a sub-contractor’s perspective, and this makes sense to software developers when the design is implemented with modules or components acquired from others.

**THE FUNCTIONING ENTERPRISE.** The bottom row represents the actual deployed or running elements, data, and people of the organization. It isn’t a perspective, as such, but the “real world,” in all its complexity, that underlies all of the more or less abstract perspectives above it. (To simplify subsequent figures, we will drop the Function Enterprise row from other Zachman diagrams.)

The horizontal dimension of the framework (the columns) describes the types of abstractions that define each perspective. These abstractions are based on the widely used questions that people have historically asked when they sought understanding. The six questions or types of abstractions are as follows:

**DATA:** *What is it made of?* This focuses on the material composition of the product. In the case of software systems, it focuses on data. Zachman has proposed a simple, illustrative model for each of the columns. In this case, the model is: 
Thing—Relationship—Thing

**FUNCTION:** *How does it work?* This focuses on the functions or transformations of the product. The model is: 
Process—Input/Output—Process

**NETWORK:** *Where are the elements located relative to one another?* This focuses on the geometry or connectivity of the product. The model is: 
Node—Line—Node

**PEOPLE:** *Who does what work?* This focuses on the people and the manuals and the operating instructions or models they use to perform their tasks. The model is: 
People—Work—People
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TIME: When do things happen? This focuses on the life cycles, timing and schedules used to control activities. The model is: Event—Cycle—Event

MOTIVATION: Why do things happen? This focuses on goals, plans and rules that prescribe policies and ends that guide the organization. The model is: End—Means—End

Each cell describes an architecture, model, representation or description that an organization might document. Each of the cells in the framework is primitive and thus, each can be described or modeled independently. (Zachman refers to it as "normalized" with one fact in one place.) All of the cells on a given row make up a given perspective. All of the cells in a column are related to each other since they focus on the same type of elements.

Organizations may not keep all of the models described by the Enterprise Architecture Framework in one location. Some organizations do not formally define some of the cells, but, since all of the cells are logically necessary for a complete description of an organization, if they aren’t formally described, they are implicit in assumptions made by people in the organization.

The Object Management Group (OMG) was founded in 1989. It is a consortium of organizations that originally joined together to create standards and to encourage the use of object technology. Throughout the Nineties, the member companies that make up the OMG labored to create a set of standards, collectively known as the Object Management Architecture (OMA). The centerpiece of the OMA was CORBA (Common Object Request Broker Architecture), a middleware standard which defined how messages from diverse languages could be defined via a common intermediary language, the OMG’s Interface Definition Language (IDL), moved from client to target platforms via platform-independent protocols (e.g. IIOP), and then retranslated into the language of the target object or component. In another effort, the OMG formalized the Unified Modeling Language (UML), a modeling system that could be used to represent software designs.

For the past several years, the OMG has been moving beyond its roots in object standards. Its members, some 700 companies from throughout the world, are concerned with integrating and organizing all their software assets. Once they accepted that multiple middleware standards had established themselves in the market and that CORBA would always need to be combined with other middleware standards to create a complete solution at any large company, they began to think about more generic solutions to the integration problems they all faced. The Model Driven Architecture (MDA) represents a major effort to create the standards necessary to facilitate a comprehensive new approach to the creation, integration, and maintenance of software assets.

The goal of MDA is to create an enterprise architecture modeling capability that analysts and developers can use to describe a company’s business and software assets. By creating the architecture with software tools, companies are in a position to generate specific applications to implement the architecture and to modify those applications as the organization’s needs change. In other words, MDA represents a
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major step in the direction of a real-time enterprise in which managers can make changes in architectures that are subsequently represented in code.[4]

MDA is concerned with models and talks about them in two different ways. First it is concerned with techniques that assure that all models used in software development can be aligned with all others. This focus emphasizes the use of MOF and metamodels. MDA is also concerned with organizing models used in the software development process so that developers can move from abstract models to more concrete models. This focus emphasizes the use of Platform Independent Models (PIMs), Platform Specific Models (PSM), and so forth. We’ll consider, first, how MOF provides common modeling standards, and then how models can be organized to facilitate efficient and flexible software development.

Model Standardization

The Model Driven Architecture is supported by a number of models and standards. All MDA models are related because they are all based on a very abstract metamodel — the Meta Object Facility, or MOF. Every other model used in MDA is defined in terms of MOF constructs. In other words, every MDA model is MOF-compliant. This guarantees that all models used in the MDA system can communicate with every other MOF-compliant model. All of the different data representations in the OMG’s Common Warehouse Model (CWM) are MOF-compliant. Similarly, all of the diagrams supported by the Unified Modeling Language (UML) are MOF-compliant. (See Figure 2.)

UML supports extensions which are termed profiles. Profiles are MOF-compliant as a result of being extensions of UML. Profiles, in UML 2.0, are used to describe various functional uses of UML. Profiles are extensions to UML and are, themselves, MOF metamodels.[5]

Some MDA metamodels have been formally defined by the OMG. Some are still being developed by OMG task forces. In some cases, outside groups or vendors have developed MOF compliant metamodels. These non-OMG metamodels are MOF-compliant and can be used in MDA development, but they are not, as yet, official OMG standards.

The MDA models and profiles shown in Figure 2 are defined as follows, starting at the left of the second row:

The Common Warehouse Metamodel (CWM). The OMG’s formal model of metadata is used to manage data warehouses. Using CWM, developers can generate a number of more specific data models or formats, including relational tables, records or structures, OLAP, XML, multidimensional database designs, and so forth. This includes aspects which also have value outside the data warehousing environment such as data models, transformations, software deployment, and business nomenclature.

The UML Metamodel. Early versions of UML were not completely MOF compliant, but the latest release of UML, version 2.0 is MOF-compliant. UML defines a set of core modeling concepts which can be combined into various diagrams, including, for example: Class Diagrams, Sequence Diagrams, State Diagrams, Activity Diagrams,
Component Diagrams, and Package Diagrams. In addition, the UML specification includes a facility that allows developers to establish constraints on various UML elements.

**Web Services.** Web Services is an example of a non-OMG metamodel developed to facilitate the development of MOF-compliant Web Service models.

**The Business Process Definition Metamodel.** This is an example of a metamodel that is still in the development phase. The OMG has called for proposals for a MOF-compliant metamodel for business processes. Such a metamodel would be independent of specific process definition languages and would allow MOF models to interface with languages like WSBPEL and notations like BPMN.

**Business Semantics for Business Rules.** Another example of a metamodel in development is an RFP for a MOF Metamodel for capturing business rules in business terms, and the definition and semantics of those terms in business vocabularies. In fact, there will be two specifications: a more generic standard for business rules, and a more specific one for production rules that are actually used by rule engines.
CORBA Profile. This metamodel defines how to use UML to create CORBA-specific models. The CORBA specification includes the definition of a CORBA component model that can be modeled in UML and used in application development.

EJB Profile. This metamodel defines how to use UML to create J2EE or EJB-specific models. Developed by the Java Community Process.

EAI Profile. (The UML Profile and Interchange Model for Enterprise Application Integration.) This metamodel defines how to use UML to model event-driven EAI solutions.

EDOC Profile. (The UML Profile for Enterprise Distributed Object Computing.) This metamodel defines how to use UML to model distributed enterprise systems and the aspects of the business that they support (business processes, entities, events, etc.). The EDOC standard includes a Java metamodel that defines how to create Java-specific models.

Scheduling Profile. (The UML Profile for Scheduling, Performance and Time.) This metamodel defines how to use UML to model temporal aspects of (primarily real-time) computer systems.

.NET Profile. Another example of a profile created by developers independent of the OMG. A .NET profile defines how to use UML to create .NET-specific models.

There are other MOF compliant metamodels and UML profiles in existence and in development. We have only focused on those that can best illustrate how MDA can be mapped to the Zachman Framework. MDA is a work in progress, and the OMG will continue to develop new MOF metamodels as new technologies, languages or modeling elements need to be defined so that they can be integrated with MDA.

XMI. The OMG’s XMI (XML Metadata Interchange) standard assures that any MOF-compliant model can be represented as an XML document and stored in a MOF-compliant database. Thus, in effect, an XMI document is a MOF XML document. The latest version of UML includes the ability to specify the behavior of models so that they can be converted directly to code. At the moment, there is no standard way to transform any MOF model to any other, but an OMG committee is working on standardizing transformations.

MDA and Software Development

MDA assures that all compliant metamodels share a common set of core assumptions and definitions. MDA, however, is primarily focused on organizing the development and maintenance of software resources. Thus, MDA also describes how models are used in the software development process.

Figure 3 suggests how an IT group can derive models from either business process descriptions or software descriptions and use them, in turn, to convert the abstract models into executable implementations. Note that the models used in this process would refer to a specific organization’s data and processes. These models would be derived from metamodels like UML, but would refer to specific processes within the organization. Thus, in effect, a specific model of a company’s business classes
could be classified two ways. It would be a model that complied with the UML metamodel, and if it was platform independent, it would be a PIM model. The former tells what modeling conventions are being used (UML) and the latter tells how the model functions in the development process.

Figure 3 highlights the three different types of MDA models one uses in developing a system, and who is involved in using each. In this case we see that business analysts develop Computation Independent Models (CIM) that describes the business. Architects and designers subsequently create Platform Independent Models (PIM) to illustrate the organization’s architecture, without reference to any specific implementation. Later, developers and testers will use tools to generate specific software designs from the PIM architecture and then use their Platform-Specific Models (or designs) to generate code.

We won’t describe the MDA development process in any detail in this paper. Our goal here is simply to understand how MDA can be used to capture and use the types of information defined by the Zachman Framework.

The Zachman Framework wasn’t created with any implementation technology in mind. Similarly, the OMG’s MDA wasn’t created with the Zachman Framework in mind. In fact, however, if the Zachman Framework describes all of the architectures, models, descriptions and representations that managers and developers need to
Mapping MDA to the Zachman Framework

keep track of, and the MDA approach is designed to support the creation and management of an enterprise architecture, then they ought to be closely related.

As is suggested in Figure 2 the OMG’s Model Driven Architecture uses a number of MOF-compliant metamodels (e.g. UML, CWM) and UML profiles to represent information about systems. In Figure 3 we saw how any of the metamodels or profiles could be used to create models that might be used in a software development process. When a model is used in development, we can classify it in terms of its function, as a Computation Independent Model (CIM), a Platform Independent Model (PIM), or a Platform Specific Model (PSM).

Figure 4 suggests how MDA models used in software development might map to the rows of the Zachman Framework.

![Figure 4. How the MDA models used in software development map to the Zachman Framework.](image-url)

Notice that we extended the MDA Computation-Independent Model up into the Scope or Contextual row of the Zachman Framework, but did not suggest that the entire Contextual row could be mapped to MDA CIM models. There is some difference on
this, depending on exactly what is included in the Contextual Row. In some cases, Zachman suggests they are lists or goals and these discrete items would not actually be included in MDA diagrams.

Next, we map the MDA metamodels and profiles to the Zachman Framework. There are several different, specific MDA standards and we didn't want to make the resulting diagram too confusing so we have mapped the MOF-compliant metamodels in two separate figures. We'll start by simply mapping UML to the Zachman Framework.

The OMG’s Unified Modeling Language is a set of core modeling elements that can be combined in different ways. Most developers think of UML in terms of diagrams that they use to represent models of different aspects, or states, of a software development process. There is no single way to use UML diagrams. Thus, some developers capture business requirements with use case diagrams and others represent business processes using UML activity diagrams. Class and sequence diagrams are often used for software analysis and design, and package and component diagrams are used to show specific designs and to indicate deployment plans.

In fact, each of these diagrams can be more or less complex depending on the elements the analyst uses. For example, one can create an activity diagram that shows activities and transitions between the activities. By the same token, one can create rows or swimlanes and label them with department names to show which department is responsible for what activity. In other words, a single activity diagram can incorporate elements that are described within different cells on the Zachman Framework. For example, the top left cell of the Zachman Framework (Scope/Data) focuses on lists of things important to the business. These could be represented by a very general class diagram where each class indicated a concept important to the business, or by a very general entity relationship diagram that suggested entities important to the business. As more details were added the diagram could serve as a business model or even a logical design. Similarly, one could create a very high-level activity diagram and simply label the swimlanes with departments to capture the list of organizations important to the business, or by a very general entity relationship diagram that suggested entities important to the business. As more details were added the diagram could serve as a business model or even a logical design. Similarly, one could create a very high-level activity diagram and simply label the swimlanes with departments to capture the list of organizations important to the business, or by a very general entity relationship diagram that suggested entities important to the business. As more details were added the diagram could serve as a business model or even a logical design. Similarly, one could create a very high-level activity diagram and simply label the swimlanes with departments to capture the list of organizations important to the business, or by a very general entity relationship diagram that suggested entities important to the business. As more details were added the diagram could serve as a business model or even a logical design. Similarly, one could create a very high-level activity diagram and simply label the swimlanes with departments to capture the list of organizations important to the business, or by a very general entity relationship diagram that suggested entities important to the business. As more details were added the diagram could serve as a business model or even a logical design. Similarly, one could create a very high-level activity diagram and simply label the swimlanes with departments to capture the list of organizations important to the business, or by a very general entity relationship diagram that suggested entities important to the business. As more details were added the diagram could serve as a business model or even a logical design. Similarly, one could create a very high-level activity diagram and simply label the swimlanes with departments to capture the list of organizations important to the business, or by a very general entity relationship diagram that suggested entities important to the business. As more details were added the diagram could serve as a business model or even a logical design. Similarly, one could create a very high-level activity diagram and simply label the swimlanes with departments to capture the list of organizations important to the business, or by a very general entity relationship diagram that suggested entities important to the business. As more details were added the diagram could serve as a business model or even a logical design. Similarly, one could create a very high-level activity diagram and simply label the swimlanes with departments to capture the list of organizations important to the business, or by a very general entity relationship diagram that suggested entities important to the business. As more details were added the diagram could serve as a business model or even a logical design. Similarly, one could create a very high-level activity diagram and simply label the swimlanes with departments to capture the list of organizations important to the business, or by a very general entity relationship diagram that suggested entities important to the business. As more details were added the diagram could serve as a business model or even a logical design. Similarly, one could create a very high-level activity diagram and simply label the swimlanes with departments to capture the list of organizations important to the business, or by a very general entity relationship diagram that suggested entities important to the business. As more details were added the diagram could serve as a business model or even a logical design.

Figure 5 suggests how the core UML metamodel, with its various software analysis and design diagrams, might map to the Zachman Framework. The Framework could easily be addressed more fully by UML 2.0. For example, partitions of diagrams (e.g. swimlanes) could be used to represent any of the where, who, when, or why aspects of the Framework. OCL might be used to express the rule aspects in the Motivation column. Similarly, use case diagrams could be used to represent certain elements located in the People column. UML could also cover a good bit of the Time column in the guise of the new UML Scheduling Profile.

Rather than stretch to cover everything we could, in Figure 6 we tried to show where most business analysts or software designers would use UML diagrams today. We
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<table>
<thead>
<tr>
<th>The Zachman Framework</th>
<th>DATA What (Things)</th>
<th>FUNCTION How (Process)</th>
<th>NETWORK Where (Location)</th>
<th>PEOPLE Who (People)</th>
<th>TIME When (Time)</th>
<th>MOTIVATION Why (Motivation)</th>
</tr>
</thead>
<tbody>
<tr>
<td>SCOPE Planner</td>
<td>List of things important to the business</td>
<td>List of processes the business performs</td>
<td>List of Locations in which the business operates</td>
<td>List of Organizations Important to the Business</td>
<td>List of Events Significant to the Business</td>
<td>List of Business Goals/Strategies</td>
</tr>
<tr>
<td>DETAILED REPRESENTATIONS Sub-Contractor</td>
<td>Data Definition Program</td>
<td>Network Architecture</td>
<td>Security Architecture</td>
<td>Timing Definition</td>
<td>Rule Specification</td>
<td></td>
</tr>
</tbody>
</table>

Figure 5. One way UML Diagrams could map to the Zachman Framework.

have indicated diagrams that might be used. In some cases, only simple versions of the diagrams might be created, while in others, more complex versions of the same diagram might be required. Different architects or analysts prefer different diagrams and might handle any given problem with still other diagrams that we have not shown, so the diagram names on Figure 6 are only suggestive.

Figure 6 suggests how several other metamodels and UML profiles can be used to capture information from the various cells on the Zachman Enterprise Architecture Framework. The dashed line suggests the area in which UML diagrams might be used, as reflected in Figure 5. In Figure 6, we show two types of MDA standards. Standards that have already been adapted are shown in color while those that are currently being developed are illustrated with shades of gray.

Figure 6 illustrates how the various metamodels could be used to represent information described by the Zachman Framework. As you can see, most data aspects can be represented by models derived from the CWM metamodel. Data, Function and Network Systems integration issues can be modeled with the EAI profile. EDOC provides an efficient way to model some System, and most Technology, issues.
Figures 6. The Zachman Framework with MDA standards inserted to suggest how an MDA user would document the information in the various Enterprise Architecture cells.

associated with Functions and with human Interface issues. Some analysts will prefer a non-standard UML Web Profile for interface modeling. The new UML Scheduling Profile will probably prove popular with those seeking to model System, Technology, and some Detailed Representation of Time.

Profiles for .NET, EJB and CORBA can be used to represent the components used in Technology models and the relationships used in Networks. In some cases the Technology models can actually be used in Representational situations. Any of the profiles could be supplemented with UML diagrams, as needed.

Once the metamodel for Business Process Definition is established, a wide variety of process modeling notations and various proprietary workflow or process modeling metamodels will probably be mapped to the OMG Business Process Definition. That, in turn, will allow developers to prepare workflow or process diagrams and link them to other MDA models. Similarly, the upcoming Business Rules Metamodel will make it possible for a wide variety of proprietary business rule vendors to map their models to the OMG metamodel and thus achieve integration with MDA.
Summary

Figure 6 and the discussion above suggests how MDA-related standards can be used to support an Enterprise Architecture, as defined by John Zachman’s Framework. It suggests that those who have already spent time defining models according to the Zachman Framework categories should find it easy to apply MDA. There are, of course, many different ways to implement the Zachman Framework. None, however, offer the breadth and consistency of the MDA approach, which allows managers, architects and developers, from the Business Model perspective to the Detailed Representation perspective, to model and represent information and decisions in a consistent way, and then use the resulting framework, represented on an MDA tool or repository, to generate code and to subsequently maintain that architecture over the course of years.

Footnotes


[2] Information on Zachman’s current work can be obtained from The Zachman Institute for Framework Advancement (ZIFA) [www.zifa.com](http://www.zifa.com)


[4] For a detailed description of the elements of the MDA approach, see the OMG’s MDA Guide. ([www.omg.org](http://www.omg.org)) Also see:


Specifications for all other OMG metamodels or profiles described in this paper are documented on the OMG website: [www.omg.org](http://www.omg.org)

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